

FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$130.00)

Approved for use through 10/31/2002. OMB 0651-0032
Complete if Known

Application Number 09/936,841
Filing Date September 18, 2000
First Named Inventor Martin CALDWELL et al.
Examiner Name M. Milano
Art Unit 3731
Attorney Docket No. 741890-20

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-2380 (741890-20)

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 320	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = X = Fee Paid

Independent Claims -3** = X =

Multiple Dependent X =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater, For Reissue, see above

FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	
1051 130		2051 65		Surcharge - late filing fee or oath
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet
1053 130		2053 130		Non-English specification
1812 2,520		1812 2,520		For filing a request for ex parte reexamination
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action
1805 1,840*		1805 1,840*		Requesting publication of SIR after Examiner action
1251 110		2251 55		Extension for reply within first month
1252 410		2252 205		Extension for reply within second month
1253 930		2253 465		Extension for reply within third month
1254 1,450		2254 725		Extension for reply within fourth month
1255 1,970		2255 985		Extension for reply within fifth month
1401 320		2401 160		Notice of Appeal
1402 320		2402 160		Filing a brief in support of an appeal
1403 280		2403 140		Request for oral hearing
1451 1,510		1451 1,510		Petition to institute a public use proceeding
1452 110		2452 55		Petition to revive - unavoidable
1453 1,300		2453 650		Petition to revive - unintentional
1501 1,300		2501 650		Utility issue fee (or reissue)
1502 470		2502 235		Design issue fee
1503 630		2503 315		Plant issue fee
1460 130		1460 130		Petitions to the Commissioner
1807 50		1807 50		Processing fee under 37 CFR 1.17(c)
1806 180		1806 180		Submission of Information Disclosure Sheet
8021 40		8021 40		Recording each patent assignment per property (times number of properties)
1809 750		2809 375		Filing a submission after final rejection (37 CFR 1.129(a))
1810 750		2810 375		For each additional invention to be examined (37 CFR 1.129(b))
1801 750		2801 375		Request for Continued Examination (RCE)
1802 900		1802 900		Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on April 11, 2003.

Name: April Campbell

SUBMITTED BY

Name (Print/Type) Tim L. Brackett, Jr.

Signature [Signature]

Registration No. (Attorney/Agent) 36,092

Complete (if applicable)

Telephone (703) 770-9300

Date April 11, 2003

Please type a plus sign (+) inside this box → [+]

PTO/SB/21 (08-00)


Approved for use through 10/31/2002. OMB 0651-0031

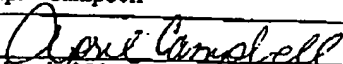
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/936,841
		Filing Date	September 18, 2000
		First Named Inventor	Martin CALDWELL et al.
		Group Art Unit	3731
		Examiner Name	M. Milano
Total Number of Pages in This Submission		Attorney Docket Number	741890-20

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Other Enclosure(s): <u>Petition to Make Special under 37 C.F.R. 1.102(c) and MPEP 708.02 (VII)</u>
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 (741890-20) for the above identified docket number.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	<u>Tim L. Brackett, Reg. No. 36,092</u> Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	April 11, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>4-11-03</u>			
Type or printed name	April Campbell		
Signature		Date	April 11, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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This will acknowledge receipt of the following:

1. Transmittal Form w/ *Certificate of Mailing*
2. Fee Transmittal Form w/ *Certificate of Mailing*
3. Information Disclosure Statement w/ *Certificate of Mailing*
4. Form PTO-1449 w/ a copy of references cited
5. Petition to Make Special under 37 C.F.R. 1.102(c) and MPEP 708.02 (VIII) w/ *Certificate of Mailing*
6. Amendment (5 pages) w/ *Certificate of Mailing*
7. Check No. 7555 in the amount of \$130.00 (Petition to Make Special- \$130.00)

In re Patent Application of:

Inventor(s): Martin CALDWELL et al.

Serial No.: 09/936,841

Filed: September 18, 2000

Title: A SURGICAL ACCESS DEVICE

Due Date:

Docket No. 741890-20

TLB/JWM/adc

Date: 04-11-03

PLEASE DATE STAMP AND RETURN

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4/10/2003

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Suite 800
McLean, VA 22102

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ROCHESTER, NY

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741890-20

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